

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>13906-138001</b>	Application No. <b>10/642,499</b>
	Applicant <b>Matthias Vogel et al.</b>		
	Filing Date <b>August 18, 2003</b>	Group Art Unit <b>2131</b>	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
FH	AA	5,941,947	08/24/99	Brown, et al.			
FH	AB	2002/178119	11/28/02	Wilson, et al.			
FH	AC	2002/184535	12/05/02	Laracuenta, et al.			
	AD						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
FH	AE	0697662	02/21/96	Europe				
FH	AF	0913966	05/06/99	Europe				
	AG							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
FH	AH	Barkley, et al. "Managing Role/Permission Relationships Using Object Access Types," <u>Proceedings of the 3<sup>rd</sup> ACM Workshop on Role-Based Access Control, New York, US, October 22-23, 1998, pp. 73-80.</u>
FH	AI	Deng, et al., "A Dynamic Access Control Model for Object-Oriented System," <u>Institute of Electrical and Electronics Engineers, New York, US, October 13-15, 1993, pp. 159-163.</u>
FH	AJ	Schaad, et al. "The Role-Based Access Control System of a European Bank: A Case Study and Discussion," <u>Proceedings of The 6<sup>th</sup> ACM Symposium on Access Control Models and Technologies, Chantilly, VA, May 3-4, 2001, pp. 3-9.</u>
	AK	

Examiner Signature  <b>/Farid Homayounmehr/</b>	Date Considered  <b>10/30/2006</b>
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Sheet 1 of 1

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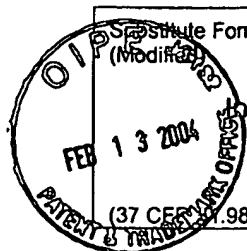
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						

Foreign Patent Documents or Published Foreign Patent Applications								
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							Yes	No
FH	AB	0 992 873	04/12/00	Europe				
	AC							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
FH	AD	Sandhu, et al. "Access Control: Principles and Practice," <u>IEEE Communications Magazine</u> , September 1, 1994, Vol. 32, No. 9, pp. 40-48
	AE	

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### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
FH	AA	6,591,265	07/08/2003	Erickson et al.			
FH	AB	6,578,037	06/10/2003	Wong et al.			
FH	AC	6,539,380	03/25/2003	Moran			
FH	AD	6,535,879	03/18/2003	Behera			
FH	AE	6,513,039	01/28/2003	Kraenzel			
FH	AF	6,484,258	11/19/2002	Haverty			
FH	AG	6,470,353	10/22/2002	Yaung et al.			
FH	AH	6,470,339	10/22/2002	Karp et al.			

### Foreign Patent Documents or Published Foreign Patent Applications

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							Yes	No
	AI							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
FH	AJ	"XACML: A New Standard Protects Content in Data Exchange," June 24, 2003, 7 pages, reprinted from <a href="http://developer.java.sun.com/developer/technicalArticles/Security/xacml/xacml.html">http://developer.java.sun.com/developer/technicalArticles/Security/xacml/xacml.html</a>
FH	AK	"Sun's XACML Implementation," June 23, 2003 Update, 2 pages, reprinted from <a href="http://sunxacml.sourceforge.net">http://sunxacml.sourceforge.net</a>
FH	AL	"OASIS: XACML Implementers Guide," Hal Lockhart, ed., June 12, 2002, 5 pages
FH	AM	"OASIS: Web-Services Policy Language Use-Cases and Requirements, Working Draft 04," Tim Moses, ed., April 16, 2003, 23 pages
FH	AN	"OASIS: "eXtensible Access Control Markup Language (XACML) Version 1.0, OASIS Standard," Simon Godik and Tim Moses, eds., February 18, 2003, 132 pages

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